



## What is a CUSIP?

CUSIP is an acronym that refers to Committee on Uniform Security Identification Procedures and the nine-digit, alphanumeric CUSIP numbers that are used to identify securities, including municipal bonds. A CUSIP number, similar to a serial number, is assigned to each maturity of a municipal security issue. The first six characters are known as the base, or CUSIP-6, and uniquely identify the bond issuer. The seventh and eighth digit identify the exact bond maturity and the ninth digit is an automatically generated “check digit.” This document provides helpful tips for obtaining CUSIP numbers.

### About EMMA®

The Municipal Securities Rulemaking Board (MSRB) provides municipal market transparency through its Electronic Municipal Market Access (EMMA®) website, the official repository for information on all municipal bonds. The MSRB protects investors, state and local governments and other municipal entities, and the public interest by promoting a fair and efficient municipal securities market. Learn more about the mission of the MSRB at [msrb.org](http://msrb.org).

### How Can I Find a CUSIP?

The MSRB’s Electronic Municipal Market Access (EMMA®) website, at [emma.msrb.org](http://emma.msrb.org) has an advanced search function that can be used to find securities and locate a CUSIP number. Other ways to locate a CUSIP number are to find it in an official statement (available on EMMA) or by consulting an external source such as a municipal securities dealer. If an investor has purchased a particular bond, the CUSIP number can be found on the confirmation of purchase and in periodic financial statements.

### Searching for a CUSIP on EMMA

The screenshot shows the EMMA website interface. At the top, there's a navigation bar with 'MyEMMA', 'EMMA Dataport', and 'Contact Us'. Below this is a 'Quick Search' box with the placeholder text 'Enter CUSIP or Name'. The main navigation bar includes 'ADVANCED SEARCH' (highlighted with a red box), 'BROWSE ISSUERS', 'FIND 529 PLANS', 'MARKET ACTIVITY', and 'EDUCATION CENTER'. On the left side, there's a sidebar with links: 'Are you new to EMMA?', 'Find prices, disclosures and other information of municipal bonds.', 'Browse municipal securities information by issuer.', 'Explore municipal market trends and data.', and 'Learn how the MSRB protects municipal market participants.' The main content area is titled 'Search for a Municipal Bonds' and contains three search options: 'Quick Search' (Find municipal bonds by CUSIP number or name), 'Advanced Search' (Find municipal bonds based on certain specific characteristics, including rating, maturity and price), and 'Market Price Discovery' (Find and compare trade prices of municipal bonds with similar characteristics). At the bottom, there's a section titled 'MUNICIPAL MARKET TRADING' showing 'Most Actively Traded Securities for 4/17/2014 as of 6:21 PM'. This section includes a table with columns: Security Description, Maturity Date, Interest Rate (%), High/Low Price (%), High/Low Yield (%), Trade Count, and Total Trade Amount (\$). The first row of data is for 'INDEPENDENT SCHOOL DISTRICT NUMBER 29, CLEVELAND COUNTY, OKLAHOMA' with a trade count of 103,464 and a total trade amount of 1.08.

Security Description	Maturity Date	Interest Rate (%)	High/Low Price (%)	High/Low Yield (%)	Trade Count	Total Trade Amount (\$)
INDEPENDENT SCHOOL DISTRICT NUMBER 29, CLEVELAND COUNTY, OKLAHOMA					103,464 /	1.08 /



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Searching for a security's CUSIP number on EMMA can be done through EMMA's Advanced Search tab in the main navigation menu of the EMMA website.

Use the Security Information Filters to enter known information about the security, such as state, the name of the bond issuer and/or a description of the issue.

## Sample Search

For example, an investor may wish to search for an education security issued in the Commonwealth of Virginia by the City of Chesapeake.

The screenshot displays the EMMA (Electronic Municipal Market Access) website's Advanced Search page. The header includes the EMMA logo, navigation links (MyEMMA, EMMA Dataport, Contact Us), and a Quick Search bar. The main navigation bar highlights the ADVANCED SEARCH tab. The left sidebar contains search filters: Security Information (selected), Trade History, Disclosures, and an Expand all Filters button. Below these are links for 'Run Search', 'Clear Search', and 'Searching with MyEMMA'. The main content area shows the 'Security Information' filter panel, which is expanded. It contains various input fields for search criteria: State (dropdown), Issuer Name (text input, highlighted with a red box and containing 'City of Chesapeake'), Issue Description (text input), Closing Date (date range), Dated Date (date range), Interest Rate (range with %), CUSIP-6 (text input), Maturity Date (date range), Purpose/Sector (dropdown), Source of Repayment (dropdown), Rate Type (dropdown), Insured (dropdown), and Current Long Term Ratings (Fitch and S&P with dropdowns for Select and Select Rating). A 'Security Type' dropdown is set to 'All Securities'. A 'Close' link is in the top right of the filter panel.



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This sample search returns a list of securities that match the search criteria. Examine the listed security descriptions, maturity dates, dated dates and other information to determine which security is the correct match. Click on that security description.

The screenshot shows the EMMA (Electronic Municipal Market Access) search results page. The header includes the EMMA logo and navigation links. The main content area displays a list of 144 securities. The first security is highlighted with a red box:

State	Security Description	Maturity Date	Dated Date	Principal Amount At Issuance (\$)	Interest Rate (%)	Ratings
VA	CITY OF CHESAPEAKE, VIRGINIA / CHESAPEAKE TRANSPORTATION SYSTEM SENIOR TOLL ROAD REVENUE BONDS SERIES 2012 CONVERTIBLE CAPITAL APPRECIATION BONDS SERIES 2012B	07/15/2032	11/15/2012	12,891,109		BBB

The security description leads to the security details page for that security, which displays the security's CUSIP number. The security details page also provides access to the associated official statement and continuing disclosure documents for that security.

The screenshot shows the EMMA security details page for the selected security. The header includes the EMMA logo and navigation links. The main content area displays the security details:

**Security Details**  
 CITY OF CHESAPEAKE, VIRGINIA CHESAPEAKE TRANSPORTATION SYSTEM SENIOR TOLL ROAD REVENUE BONDS SERIES 2012 CONVERTIBLE CAPITAL APPRECIATION BONDS SERIES 2012B (VA)  
 CHESAPEAKE VA TOLL RD REV CONV-CAP APPREC-TRANSN SYS (VA)\*  
**CUSIP: 16532RAR4 \***

Dated Date: 11/15/2012  
 Maturity Date: 07/15/2032  
 Interest Rate: 0.0 %  
 Principal Amount At Issuance: \$12,891,109  
 Initial Offering Price/Yield: 60.607

Official Statement Continuing Disclosure Trade Activity Ratings

Trade Summary  
 View daily summary of trade price and yield information for this security.

Trade Details  
 View historical trade price and yield

View daily summary of price and yield information for trades in this security since January 31, 2005. [Learn more about trade prices.](#)

Trade Date	High/Low Price (%)	High/Low Yield (%)	Trade Count	Total Trade Amount (\$)
01/16/2014	55.944 / 55.944	5.575 / 5.575	2	8,000,000



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## Locating a CUSIP on an Official Statement

CUSIP numbers are also typically available on the first couple of pages of the official statement, which is the primary offering document. Municipal securities dealers are obligated to provide investors with a copy of the official statement or tell them that it can be obtained electronically on EMMA.

## Locating a CUSIP through External Parties

If an investor would like to locate a CUSIP number but cannot find the particular security on EMMA, he or she can contact a municipal securities dealer. If the investor has purchased a bond, he or she can find the CUSIP number on the confirmation received from the dealer. MSRB Rule G-15 requires the dealer to send confirmation of a municipal trade to the investor that includes the CUSIP number, among other relevant information. Also, the CUSIP number would be listed on the investor's periodic financial statements.

## Direct Access to Security Details

Using a CUSIP number is the best way to access a bond on EMMA. If an investor knows the CUSIP number of a security, entering the CUSIP in the quick search box provides direct access to the security details page for that security. Quick Search is located above the main navigation menu in the right-hand corner of every page of EMMA.

**EMMA**  
Electronic Municipal Market Access  
A service of the MSRB

MyEMMA | EMMA Dataport | Contact Us

Quick Search  
Enter CUSIP or Name

ADVANCED SEARCH | BROWSE ISSUERS | FIND 529 PLANS | MARKET ACTIVITY | EDUCATION CENTER

Are you new to EMMA?

Find prices, disclosures and other information of municipal bonds.

Browse municipal securities information by issuer.

Explore municipal market trends and data.

Learn how the MSRB protects municipal market participants.

**Search for a Municipal Bonds**

**Quick Search**  
Find municipal bonds by CUSIP number or name.  
Enter CUSIP or Name

**Advanced Search**  
Find municipal bonds based on certain specific characteristics, including rating, maturity and price.

**Market Price Discovery**  
Find and compare trade prices of municipal bonds with similar characteristics.  
Enter CUSIP-9

**EMMA Education Center**  
Learn more about the municipal bond market and how to use EMMA to make informed decisions.

**What's New on EMMA**  
Market Price Discovery Tool  
Learn how to find and compare trade prices of municipal bonds with similar characteristics.

**MUNICIPAL MARKET TRADING**  
Most Actively Traded Securities for 4/17/2014 as of 6:21 PM

Security Description	Maturity Date	Interest Rate (%)	High/Low Price (%)	High/Low Yield (%)	Trade Count	Total Trade Amount (\$)
INDEPENDENT SCHOOL DISTRICT NUMBER 29, CLEVELAND COUNTY, OKLAHOMA (NORMAN SCHOOL DISTRICT) / GENERAL OBLIGATION COMBINED PURPOSE BONDS, SERIES 2014	03/01/2018	2	103.464 / 103.464	1.08 / 1.08	56	7,400,000
ONONDAGA N.Y. CIVIC DEV CORP REV / ST JOSEPHS HOSP HEALTH CTR PL SER A	07/01/2031	5.125	99.875 / 97.21	5.375 / 5.135	56	71,290,000

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